### **General Terms and Conditions for Supply and Service Subcontracts**

Supplement 2 – U.S. Government Contract Provisions from the Department of Defense Federal Acquisition Regulation Supplement (DFARS)

- 1. When the Goods or Services furnished are for use in connection with a U. S. Government Department of Defense (DoD) contract or subcontract, in addition to the L3 General Terms and Conditions for Supply and Services Subcontracts (Corporate Form CC008) and Supplement 1 U.S. Government Contract Provisions from the FAR (Corporate Form CC009), the following Supplement 2 U.S. Government Contract Provisions from the Department of Defense Acquisition Regulation Supplement (DFARS) (Corporate Form CC010) shall apply, as required by the terms of the applicable clause, the terms of the Prime Contract, or by operation of law or regulation. Clauses not applicable for these reasons shall not be removed from this document and will be considered by all parties to be without force and effect. In the event of a conflict between these DFARS provisions and Corporate Form CC008, the DFARS provisions shall control. The full text of a clause may be accessed electronically at this address: <a href="https://www.acq.osd.mil/dpap/dars/dfarspgi/current/">https://www.acq.osd.mil/dpap/dars/dfarspgi/current/</a>.
- 2. The following DFARS clauses are incorporated herein by reference and shall have the same force and effect as if they were given in full text. If the current date or substance of any of the clauses listed below is different from the date or substance of the clause incorporated in the Prime Contract referenced herein, the date or substance of the clause incorporated in the Prime Contract shall apply instead. Dollar thresholds cited below are for guidance only and may vary based on the date of the Prime Contract. The Contracts Disputes Act shall have no application to this Agreement, and nothing in this Agreement grants SELLER a direct claim or cause of action against the U.S. Government. Any reference to a "Disputes" clause shall mean the "Disputes" clause of this Agreement, as set forth in Corporate Form CC008, Section 23. SELLER shall include in each lower-tier subcontract the appropriate flow down clauses as required by the DFARS clauses included in this Supplement.
- 3. U.S. GOVERNMENT SUBCONTRACT
  - a. This Contract is entered into by the parties in support of a U.S. Government contract.
  - b. As used in the FAR clauses referenced below:
    - (i) "Commercial Item" means a commercial item as defined in FAR 2.101.
    - (ii) "Contract" means this Agreement, as defined in Corporate Form CC008, section 1(a).
    - (iii) "Contracting Officer" means the U.S. government contracting officer for L3's government Prime Contract under which this Agreement is entered.
    - (iv) "Contractor" and "Offeror" means the SELLER, which is the party identified on the face of the Agreement with whom L3 is contracting, acting as the immediate subcontractor to L3.
    - (v) "FAR" means the Federal Acquisition Regulation, used as Chapter 1 of Title 48, Code of Federal Regulations.
    - (vi) "Prime Contract" means the contract between L3 and the U.S. government or between L3 and its higher-tier contractor who has a contract with the U.S. government.
    - (vii) "Subcontract" means any contract placed by SELLER or lower-tier subcontractors under this Agreement.
    - (viii) "Simplified Acquisition Threshold" has the same meaning as defined in the clause at FAR 2.101.
    - (ix) "Micro-Purchase Threshold" has the same meaning as defined in the clause at FAR 2.101.
    - (x) "Commercially available Off-The-Shelf" or "COTS" has the same meaning as defined in the clause at FAR 2.101.

Corporate Form CC010 Revision: 08 Jan 2019 Page 1 of 6

#### **General Terms and Conditions for Supply and Service Subcontracts**

Supplement 2 – U.S. Government Contract Provisions from the Department of Defense Federal Acquisition Regulation Supplement (DFARS)

- c. Unless otherwise indicated, substitute the following party names in all DFARS clauses, as applicable:
  - (i) "L3" for "agency," "government," or "United States";
  - (ii) "L3 Subcontracting Representative" for "Contracting Officer," "Administrative Contracting Officer," or "ACO";
  - (iii) "SELLER" for "contractor" or "offeror."
- d. Any communication/notification required under a DFARS clause from/to the Contractor to/from the Contracting Officer shall be made through L3, unless otherwise indicated.

#### THE SELLER, BY SIGNING ITS OFFER, HEREBY CERTIFIES COMPLIANCE WITH THE FOLLOWING CLAUSES AND IS, THEREFORE, ELIGIBLE FOR AWARD. THE SELLER'S REPRESENTATIONS AND CERTIFICATIONS ARE INCORPORATED BY REFERENCE INTO THIS SUBCONTRACT.

TITLE OF CLAUSE	CLAUSE
REQUIREMENTS RELATING TO COMPENSATION OF FORMER DOD OFFICIALS	252.203-7000
PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE CONTRACT-RELATED	252.203-7001
FELONIES (Applies if this Agreement exceeds the Simplified Acquisition Threshold.)	
REQUIREMENT TO INFORM EMPLOYEES OF WHISTLEBLOWER RIGHTS	252.203-7002
AGENCY OFFICE OF THE INSPECTOR GENERAL	252.203-7003
DISPLAY OF HOTLINE POSTERS (Applies if this Agreement exceeds \$5.5 million.)	252.203-7004
DISCLOSURE OF INFORMATION (Applies if this Agreement requires SELLER to have access to	252.204-7000
or generate unclassified information that may be sensitive and inappropriate for release to	
public.)	
CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT	252.204-7003
ORAL ATTESTATION OF SECURITY RESPONSIBILITIES (Applies when the clause at FAR 52.204-2	252.204-7005
applies.)	
LIMITATIONS ON THE USE AND DISCLOSURE OF THIRD PARTY CONTRACTOR REPORTED	252.204-7009
CYBER INCIDENT INFORMATION (Applies if this Agreement involves services that include	
support for the government's activities related to safeguarding Covered Defense Information	
(CDI) and cyber incident reporting.)	
SAFEGUARDING COVERED DEFENSE INFORMATION AND CYBER INCIDENT REPORTING	252.204-7012
(Applies if this Agreement is for operationally critical support or for which performance will	
involve a covered contractor information system that processes, stores, or transmits CDI as	
those terms are defined in the clause. L3 shall determine if the information required for	
SELLER performance retains its identity as CDI and will require protection under this clause.)	
LIMITATIONS ON THE USE OR DISCLOSURE OF INFORMATION BY LITIGATION SUPPORT	252.204-7014
CONTRACTORS	
NOTICE OF AUTHORIZED DISCLOSURE OF INFORMATION FOR LITIGATION SUPPORT	252.204-7015
INTENT TO FURNISH PRECIOUS METALS AS GOVERNMENT FURNISHED MATERIALS (Applies	252.208-7000
when the item being purchased contains precious metals.)	
SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT	252.209-7004
OF A COUNTRY THAT IS A STATE SPONSOR OF TERRORISM (Applies if the value of this	
Agreement is \$150,000 or more.)	

### **General Terms and Conditions for Supply and Service Subcontracts**

Supplement 2 – U.S. Government Contract Provisions from the Department of Defense Federal Acquisition Regulation Supplement (DFARS)

ORGANIZATIONAL CONFLICT OF INTEREST—MAJOR DEFENSE ACQUISITION PROGRAM	252.209-7009
(Applies if this Agreement is for systems engineering or technical assistance for a major	
defense acquisition program or pre-major defense acquisition program.)	
ITEM UNIQUE IDENTIFICATION AND VALUATION (Applies if L3 acquires any item(s) under this	252.211-7003
Agreement for which item unique identification is required in accordance with paragraph	
(c)(1) of this clause.)	
PASSIVE RADIO FREQUENCY IDENTIFICATION (Applies if this Agreement will require shipment	252.211-7006
of items meeting the criteria at DFAR 211.275-2.)	
ECONOMIC PRICE ADJUSTMENT—WAGE RATES OR MATERIAL PRICES CONTROLLED BY A	252.216-7003
FOREIGN GOVERNMENT (Applies (a) if this Agreement is a fixed-price supply or service	
contract that is to be performed wholly or in part in a foreign country; and (b) a foreign	
government controls wage rates or material prices and may, during contract performance,	
impose a mandatory change in wages or prices of material.)	
SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS) - BASIC (DEVIATION 2018-	252.219-7003
00007) (Applies to this Agreement if the Basic (DEVIATION 2018-00007), Alternate I	232.213 7003
(DEVIATION 2018-00007), or Alternate II version of the clause at FAR 52.219-9 applies.	
Alternate I (DEVIATION 201800007) of this clause applies when Alternate III of the clause at	
FAR 52.219-9 applies.)	
SMALL BUSINESS SUBCONTRACTING PLAN (TEST PROGRAM) (Applies if this Agreement offers	252.219-7004
subcontracting opportunities, is expected to exceed \$700,000, is required to include FAR	252.219-7004
52.219-8, and the clauses at: FAR 52.219-9 and DFARS 252.219-7003; FAR 52.219-9 with its	
Alternate III and DFARS 252.219–7003 Alternate I; or DFARS 252.219-7004.)	252 222 7000
RESTRICTIONS ON EMPLOYMENT OF PERSONNEL (Applies if this Agreement is subject to	252.222-7000
DFARS 222.70.)	252 222 7000
COMPLIANCE WITH LOCAL LABOR LAWS (OVERSEAS) (Applies if this Agreement is for services	252.222-7002
or construction and is to be performed outside the United States and its outlying areas.)	
RESTRICTIONS ON THE USE OF MANDATORY ARBITRATION AGREEMENTS (Applies if the value	252.222-7006
of this Agreement exceeds \$1 million. The certification in paragraph (b)(2) applies to both	
SELLER in its own capacity and to SELLER's covered subcontractors.)	
HAZARD WARNING LABELS (Applies if this Agreement requires the submission of hazardous	252.223-7001
material data sheets, pursuant to the clause at FAR 23.302(c)).)	
SAFETY PRECAUTIONS FOR AMMUNITION AND EXPLOSIVES (Applies if this Agreement	252.223-7002
nvolves ammunition or explosives. "Government" in paragraph (b) means "L3 and the	
government.")	
CHANGE IN PLACE OF PERFORMANCE - AMMUNITION AND EXPLOSIVES	252.223-7003
PROHIBITION ON STORAGE, TREATMENT, AND DISPOSAL OF TOXIC OR HAZARDOUS	252.223-7006
MATERIALS—BASIC (Applies if this Agreement may require or permit SELLER access to a DoD	
nstallation. Alternate I applies if this Agreement may require, or permit contractor access to	
a DoD installation, when the Secretary of the military department issues a determination	
under the exception at 223.7104(a)(10).)	
SAFEGUARDING SENSITIVE CONVENTIONAL ARMS, AMMUNITION, AND EXPLOSIVES (Applies	252.223-7007
when DoD 5100.76-M applies, in accordance with the policy set forth in the clause at DFARS	
223.7201.)	
PROHIBITION OF HEXAVALENT CHROMIUM (Applicable if this Agreement is for supplies,	252.223-7008
maintenance and repair services, or construction materials.)	
7001 BUY AMERICAN AND BALANCE OF PAYMENTS PROGRAM (Applies if the Goods contain	252.225-7001
ther than domestic components. Applies in lieu of the clause at FAR 52.225-1. Alternate I	

### **General Terms and Conditions for Supply and Service Subcontracts**

Supplement 2 – U.S. Government Contract Provisions from the Department of Defense Federal Acquisition Regulation Supplement (DFARS)

applies if this Agreement is for the acquisition of end products in support of operations in	
Afghanistan.) PROHIBITION ON ACQUISITION OF UNITED STATES MUNITIONS LIST ITEMS FROM	252.225-7007
COMMUNIST CHINESE MILITARY COMPANIES (Applies if this Agreement is for items on the	252.225-7007
U.S. Munitions list.)	
RESTRICTION ON ACQUISITION OF SPECIALTY METALS (Applies if this Agreement exceeds the	252.225-7008
Simplified Acquisition Threshold and requires delivery of specialty metals as end items.)	252.225-7008
RESTRICTION ON ACQUISITION OF CERTAIN ARTICLES CONTAINING SPECIALTY METALS	252.225-7009
Applies if the Goods to be furnished contain specialty metals. Paragraph (d) is deleted.)	252.225-7005
PREFERENCE FOR CERTAIN DOMESTIC COMMODITIES (Applies if this Agreement exceeds the	252.225-7012
Simplified Acquisition Threshold.)	202.220 /012
DUTY-FREE ENTRY (Applies in lieu of the clause at FAR 52.225-8. The Prime Contract number	252.225-7013
and identity of the Contracting Officer are contained elsewhere in this contract. If this	
nformation is not available, contact the L3 Buyer.)	
RESTRICTION ON ACQUISITION OF BALL AND ROLLER BEARINGS (Applies if the Goods contain	252.225-7016
ball or roller bearings.)	
TRADE AGREEMENTS (Applies if this Agreement is for the acquisition of end products listed in	252.225-7021
he clause at DFARS 225.401-70 and the value of the acquisition equals or exceeds \$180,000.	
Applies in lieu of FAR 52.225-5.)	
RESTRICTION ON THE ACQUISITION OF FORGINGS (Applies when SELLER will provide forging	252.225-7025
tems or for other items that contain forging items.)	
EXCLUSIONARY POLICIES AND PRACTICES OF FOREIGN GOVERNMENTS (Applies if this	252.225-7028
Agreement is for supplies and services for international military education training and	
foreign military sales.)	
WAIVER OF UNITED KINGDOM LEVIES (Applies if this Agreement is expected to exceed \$1	252.225-7033
nillion and SELLER is a United Kingdom firm.)	
CONTRACTOR PERSONNEL SUPPORTING U.S. ARMED FORCES DEPLOYED OUTSIDE THE	252.225-7040
JNITED STATES (Applies if SELLER's personnel are supporting U.S. Armed Forces deployed	
putside the United States in (a) contingency operations; (b) peace operations consistent with	
oint Publication 3-07.3; or (c) other military operations or military exercises, when	
lesignated by the Combatant Commander or as directed by the Secretary of Defense.) ANTITERRORISM/FORCE PROTECTION POLICY FOR DEFENSE CONTRACTORS OUTSIDE THE	252.225-7043
	252.225-7043
JNITED STATES (Applies if this Agreement requires performance or travel outside the U.S.) EXPORTS BY APPROVED COMMUNITY MEMBERS IN PERFORMANCE OF THE CONTRACT	252.225-7047
Applies if the Agreement may require exports or transfers of qualifying defense articles in	252.225-7047
connection with deliveries under the Prime Contract.)	
EXPORT-CONTROLLED ITEMS	252.225-7048
PROHIBITION ON ACQUISITION OF CERTAIN FOREIGN COMMERCIAL SATELLITE SERVICES	252.225-7048
Applies if the Agreement involves the acquisition of commercial satellite services).	252.225-7051
JTILIZATION OF INDIAN ORGANIZATIONS, INDIAN-OWNED ECONOMIC ENTERPRISES, AND	252.226-7001
IATIVE HAWAIIAN SMALL BUSINESS CONCERNS (Applies if this Agreement exceeds	252.220-7001
\$500,000.)	
RIGHTS IN TECHNICAL DATA – NONCOMMERCIAL ITEMS (Applies if SELLER will be required,	252.227-7013
under this Agreement, to deliver to L3 or the government technical data pertaining to	
noncommercial items, or pertaining to commercial items for which L3 or the Government will	
	1
nave paid for any portion of the development costs. Alternates I–II may apply as the text of	

Corporate Form CC010 Revision: 08 Jan 2019 Page 4 of 6

### **General Terms and Conditions for Supply and Service Subcontracts**

Supplement 2 – U.S. Government Contract Provisions from the Department of Defense Federal Acquisition Regulation Supplement (DFARS)

RIGHTS IN NONCOMMERCIAL COMPUTER SOFTWARE AND NONCOMMERCIAL COMPUTER	252.227-7014
SOFTWARE DOCUMENTATION (Applies if SELLER will be required to deliver to L3 or the	
government computer software or computer software documentation. Alternate I applies to	
computer software or computer software documentation in which the government has	
obtained unlimited rights or a license to make an unrestricted release of the software or	
documentation. Alternate I applies when this Agreement requests the development or	
delivery of a vessel design or any useful article embodying a vessel design.)	
TECHNICAL DATA – COMMERCIAL ITEMS (Applies when SELLER will be required to deliver	252.227-7015
technical data pertaining to commercial items developed in any part at private expense.)	
RIGHTS IN BID OR PROPOSAL INFORMATION	252.227-7016
IDENTIFICATION AND ASSERTION OF USE, RELEASE, OR DISCLOSURE RESTRICTIONS (Applies	252.227-7017
when the clause at DFARS 252.227-7013 or 252.227-7014 applies.)	
VALIDATION OF ASSERTED RESTRICTIONS - COMPUTER SOFTWARE (Applies if this Agreement	252.227-7019
requires SELLER to furnish computer software to the government.)	
LIMITATIONS ON THE USE OR DISCLOSURE OF GOVERNMENT-FURNISHED INFORMATION	252.227-7025
MARKED WITH RESTRICTIVE LEGENDS (Applies when it is anticipated that the government	
will provide SELLER technical data, computer software, or computer software documentation	
marked with another contractor's restrictive legend(s) in the performance of this	
Agreement.)	
DEFERRED DELIVERY OF TECHNICAL DATA OR COMPUTER SOFTWARE (Applies if this	252.227-7026
Agreement may require delivery of technical data.)	
DEFERRED ORDERING OF TECHNICAL DATA OR COMPUTER SOFTWARE (Applies if this	252.227-7027
Agreement may require delivery of technical data.)	252.227-7027
TECHNICAL DATA OR COMPUTER SOFTWARE PREVIOUSLY DELIVERED TO THE GOVERNMENT	252.227-7028
(Applies ONLY if included in prime contract and subcontractor will deliver technical data.)	252.227-7028
TECHNICAL DATA - WITHHOLDING OF PAYMENT (Applies if the clause at DFARS 252.227-7013	252.227-7030
applies.)	252.227-7050
VALIDATION OF RESTRICTIVE MARKINGS ON TECHNICAL DATA (Applies if this Agreement may	252.227-7037
require delivery of technical data.)	252.227-7057
PATENT RIGHTS - OWNERSHIP BY THE CONTRACTOR (LARGE BUSINESS) (Applies if this	252.227-7038
Agreement is for experimental, developmental, or research work and the clause at FAR	252.227-7058
52.227-11 does not apply. Alternates I and II may apply, pursuant to the terms of the clause	
at DFARS 227.303(2).)	252 220 7004
GROUND AND FLIGHT RISK (Applies if this Agreement is for development, production,	252.228-7001
modification, maintenance, repair, flight, or overhaul of aircraft, except for contracts (a)	
strictly for activities incidental to normal aircraft operations; (b) awarded under FAR Part 12	
procedures for the acquisition, development, production, modification, maintenance, repair,	
flight, or overhaul of aircraft, other otherwise involving the furnishing of aircraft; (c) for	
which non-DoD customer has agreed to assume the risk of loss or destruction of, or damages	
to, the aircraft; or (d) for commercial derivative aircraft that are to be maintained to FAA	
airworthiness when the work will be performed at a licensed FAA repair station.)	252 222 7257
ACCIDENT REPORTING AND INVESTIGATION INVOLVING AIRCRAFT, MISSILES, AND SPACE	252.228-7005
LAUNCH VEHICLES (Applies if this Agreement involves the manufacture, modification,	
overhaul, or repair of aircraft, missiles, or space launch vehicles.)	
TAXES – FOREIGN CONTRACTS IN AFGHANISTAN (Applies if this Agreement involves	252.229-7014
performance in Afghanistan, unless the clause at 252.229-7015 is used.)	
SUPPLEMENTAL COST PRINCIPLES (Applies if this Agreement is subject to the principles and	252.231-7000
procedures described in FAR subpart 31.1, 31.2, 31.6, or 31.7.)	1

Corporate Form CC010 Revision: 08 Jan 2019 Page 5 of 6

### **General Terms and Conditions for Supply and Service Subcontracts**

Supplement 2 – U.S. Government Contract Provisions from the Department of Defense Federal Acquisition Regulation Supplement (DFARS)

	1
EARNED VALUE MANAGEMENT SYSTEM (DEVIATION 2015-00017) (Applies to this Agreement	252.234-7002
if specified in the Prime Contract.)	
COST AND SOFTWARE DATA REPORTING SYSTEM—BASIC (Applies if this Agreement exceeds	252.234-7004
\$50 million.)	
FREQUENCY AUTHORIZATION - BASIC (Applies if this Agreement requires developing,	252.235-7003
producing, constructing, testing, or operating a device requiring a radio frequency	
authorization. Alternate I applies if agency procedures authorize the use of DD Form 1494,	
Application for Equipment Frequency Allocation, to obtain frequency authorization.)	
CONTINUATION OF ESSENTIAL CONTRACTOR SERVICES (Applies if this Agreement is for	252.237-7023
mission-essential services.)	
NOTICE OF CONTINUATION OF ESSENTIAL CONTRACTOR SERVICES (This is the clause used in	252.237-7024
solicitations when 252.237-7023 will be used in the Prime Contract.)	
INFORMATION ASSURANCE CONTRACTOR TRAINING AND CERTIFICATION (Applies if this	252.239-7001
Agreement involves the performance of information assurance functions as described in DoD	
8570.01-M.)	
CLOUD COMPUTING SERVICES (Applies if this Agreement involves or may involve cloud	252.239-7010
services.)	
TELECOMMUNICATIONS SECURITY EQUIPMENT, DEVICES, TECHNIQUES, AND SERVICES	252.239-7016
(Applies if this Agreement requires securing telecommunications.)	
SUPPLY CHAIN RISK (Applies if this Agreement is for information technology, whether	252.239-7018
providing as a service or supply, is a part of a covered system, or is in support of a covered	
system, as defined in the clause at DFARS 239.7301.)	
PRICING OF CONTRACT MODIFICATIONS (Applies if this Agreement is a fixed price contract.)	252.243-7001
SUBCONTRACTS FOR COMMERCIAL ITEMS	252.244-7000
TAGGING, LABELING, AND MARKING OF GOVERNMENT FURNISHED PROPERTY (Applies when	252.245-7001
the clause at FAR 52.245-1 applies.)	
REPORTING LOSS OF GOVERNMENT PROPERTY (Applies when the clause at FAR 52.245-1	252.245-7002
applies.)	
CONTRACTOR PROPERTY MANAGEMENT SYSTEM ADMINISTRATION (Applies when the clause	252.245-7003
at FAR 52.245-1 applies.)	
NOTIFICATION OF POTENTIAL SAFETY ISSUES (Applies if this Agreement is for (i) parts	252.246-7003
identified as critical safety items; (ii) systems and subsystems, assemblies, and subassemblies	
integral to a system; or (iii) repair, maintenance, logistics support, or overhaul services for	
systems and subsystems, assemblies, subassemblies, and parts integral to a system. SELLER	
shall provide notifications to L3 and the contracting officer identified to SELLER.)	
CONTRACTOR COUNTERFEIT ELECTRONIC PART DETECTION AND AVOIDANCE SYSTEM	252.246-7007
(Applies if this Agreement is for electronic parts or assemblies containing electronic parts.)	
SOURCES OF ELECTRONIC PARTS (Applies if this Agreement is for electronic parts or	252.246-7008
assemblies containing electronic parts, unless SELLER is the original manufacturer.)	
TRANSPORTATION OF SUPPLIES BY SEA - BASIC (Paragraphs (f) through (h) shall not apply if	252.247-7023
this Agreement is at or below the Simplified Acquisition Threshold.)	
NOTIFICATION OF ANTICIPATED CONTRACT TERMINATION OR REDUCTION (Applies if this	252.249-7002
Agreement is in support of a major defense program.)	
CERTIFICATIONS REQUIRED TO BE ELIGIBLE FOR AWARD.	
ALTERNATE A, ANNUAL REPRESENTATIONS AND CERTIFICATIONS	252.204-7007
REPRESENTATION OF USE OF CLOUD COMPUTING	252.239-7009